



#### Th<sub>3</sub>C-3

### Convolutional Neural Network-Based MIMO Radar Channel Selection for Improving Robust Remote Heart Rate Estimation Accuracy

C. J. Bauder, T. K. Vo Dai, A. Moadi, A. E. Fathy University of Tennessee, Knoxville







### **Outline**



- Background + Motivation
- Methods
- Experiments
- Results
- Conclusion

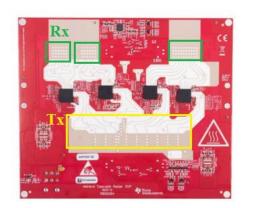


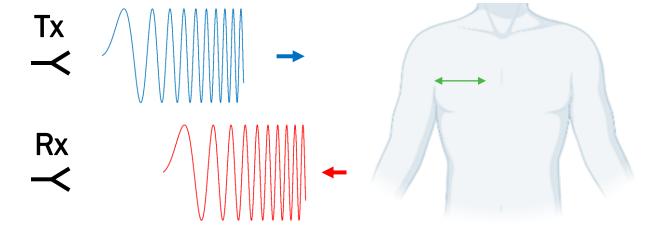


## Background

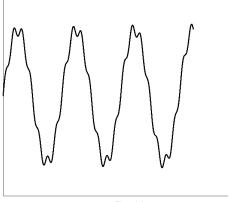


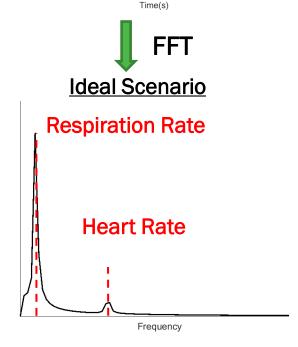
 Frequency modulated continuous wave (FMCW) radar can be used to get vital sign information remotely















#### Motivation



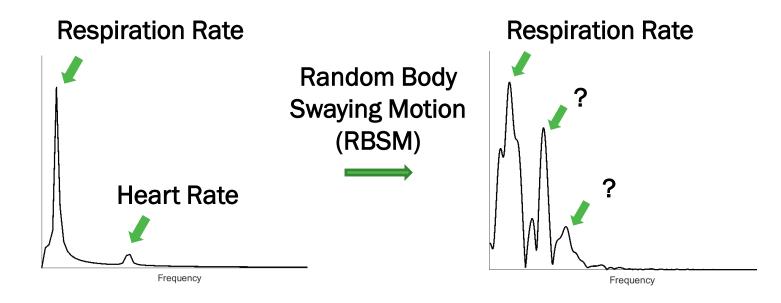
Simple:



More Complicated:







- How do we deal with this RBSM?
- How to deal with respiration harmonics?



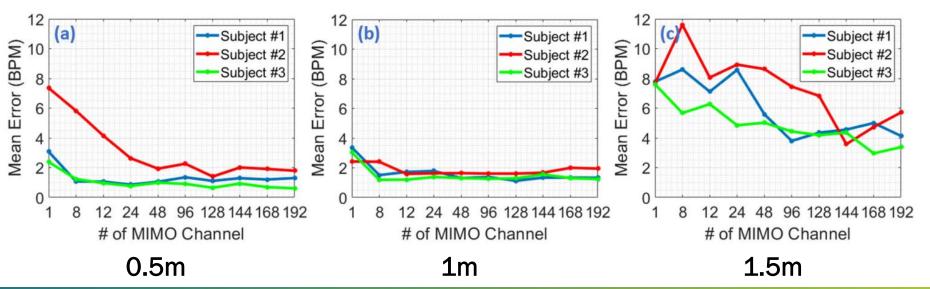


#### Motivation



**RBSM** 

- Has been shown that performance improves as the number of MIMO channels used increases when addressing RBSM in standing subjects
- With more channels comes the need to optimally combine information (and increased computation time)









### **Motivation**



- Maximal Ratio Combining (MRC) is a signal processing technique used to combine data from multiple channels to increase the signal-to-noise ratio (SNR)
- Channel selection as a preprocessing step to MRC is a way to further improve results
  - Only include "good channels" in the final summation
  - Difficult to classify good channels vs. bad channels automatically

$$\phi_{MRC}(t) = \sum_{i=1}^{N} w_i \phi_i(t)$$





### Goal



 Develop a convolutional neural network (CNN)-based framework to automatically classify the MIMO channels of a 192 channel 77GHz FMCW radar as either good or bad based on both time and frequency information

 This will aid MRC in the summation of phase variation signals with the goal of improving heart rate estimation accuracy of standing subjects

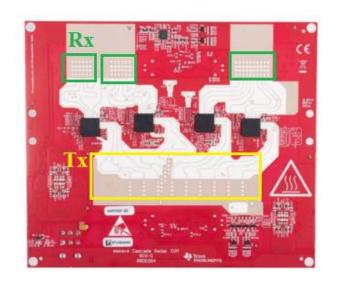


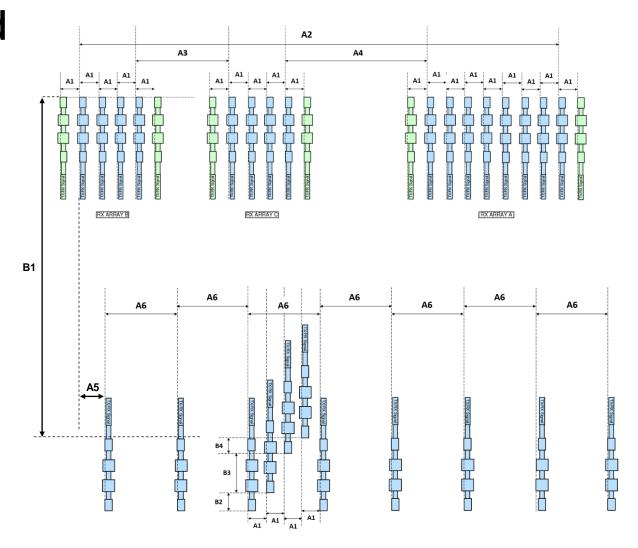


### Methods - Hardware



- MMWCAS-RF-EVM from TI used in this study
- 192 Total Tx/Rx Channels









# Methods – Chirp Configuration



Chirp Parameters	
Start Frequency, $f_c$ (GHz)	77
Frequency Slope, S (MHz/μs)	98
ldle Time (μs)	250
TX Start Time (μs)	1
ADC Start Time (μs)	10
ADC Samples	64
ADC Sampling Frequency (MHz)	2.2
Ramp End Time (μs)	40
Number of Chirp Per Frame	8
Slow-time Sampling Frequency, $f_{\rm S}=1/T_{\rm S}$ (Hz)	20







#### Methods - MRC



Maximal Ratio Combining (MRC): Weighted average of different MIMO channels

$$\phi_{MRC}(t) = \sum_{i=1}^{N} w_i \phi_i(t)$$

• To determine  $w_i$ , a cross-correlation matrix is formed based on the time domain signals channels  $\phi(t) = [\phi_1(t), \phi_2(t), ..., \phi_N(t)]$ 

$$R_{ij} \simeq \int \phi_i(t) \phi_j^*(t) dt = [v_1 v_2 \dots v_N] diag [\sigma_1 \sigma_2 \dots \sigma_N] [v_1^* v_2^* \dots v_N^*]^T$$

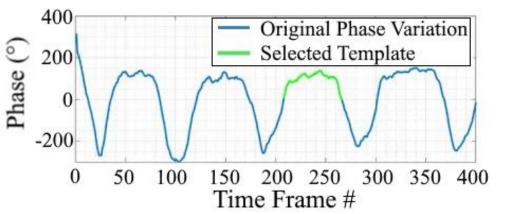
where  $\sigma_{1-N}$  are the eigenvalues and  $v_{1-N}$  are the eigenvectors for N channels. This MRC method uses the first eigenvector as weights for the received signal on all TX-RX pairs such that  $\mathbf{w}=v_1^H$ 

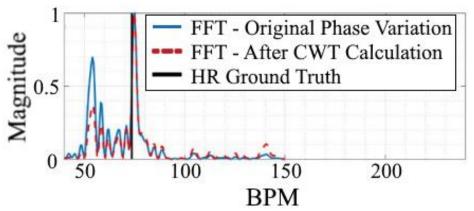




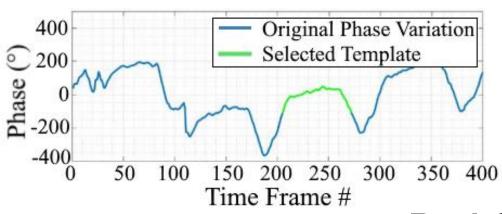
### Methods - Channel Classification

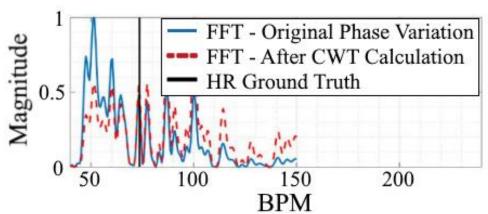






#### **Good Channel**





**Bad Channel** 



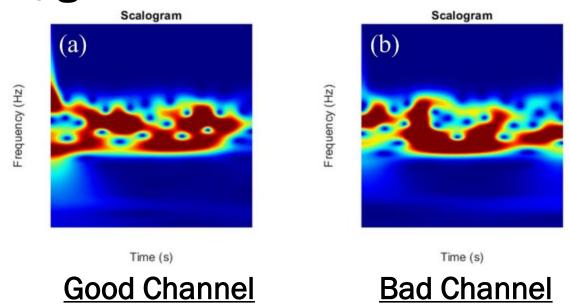




## Methods – Scalogram Creation



- Time-frequency features are extracted from the phase variation signals of each channel through scalograms using the continuous wavelet transform (CWT)
- Images are resized to be 224x224 pixels with 3 channels representing red, green and blue





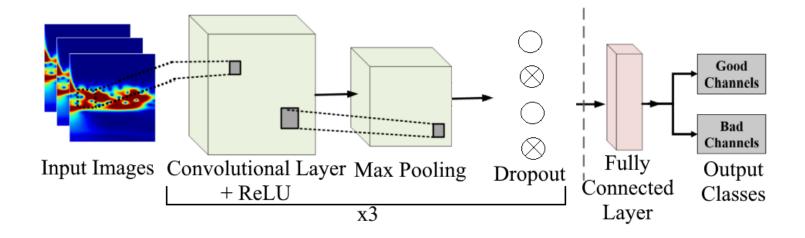


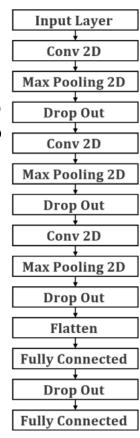


#### Methods - Network Architecture



- A typical CNN such as the one proposed in [1] can be used.
- The input layer takes in a 224x224x3 image representing 20 seconds of radar data for a single transmit-receive channel.





[1] Andre Esteva et al. "Dermatologist-level classification of skin cancer with Deep Neural Networks". In: Nature 542.7639

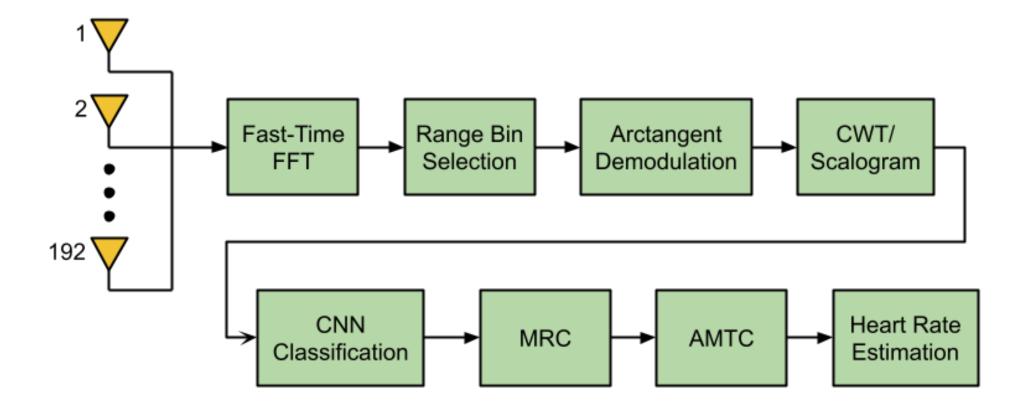






# Methods – Signal Processing Chain









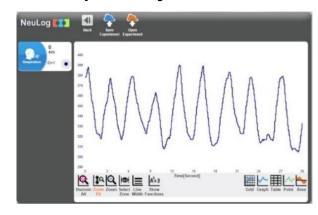
# **Experiments – Ground Truth Sensors**







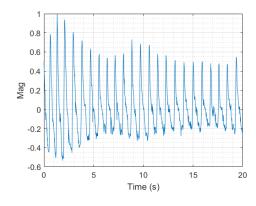
**Respiratory Waveform** 



Pulse Sensor SEN-11574



#### **Heartbeat Waveform**



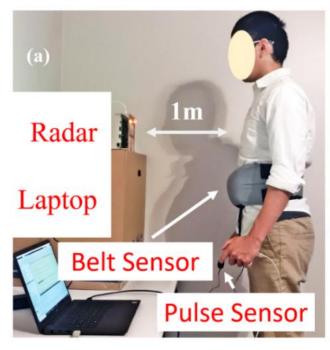
15





# **Experiments – Subjects and Scenario**





**Experimental Setup** 

Participant #	Gender	Age	Weight (lbs)	Height (in)
1	Male	29	125	64
2	Female	30	110	62
3	Male	22	185	70
4	Male	26	150	69
5	Female	54	150	61
6	Female	65	110	64
7	Female	64	235	67
8	Male	23	170	72
9	Male	33	340	73
10	Male	27	125	66
Mean ± Std	-	37 ± 17	170 ± 71	66.8 ± 4



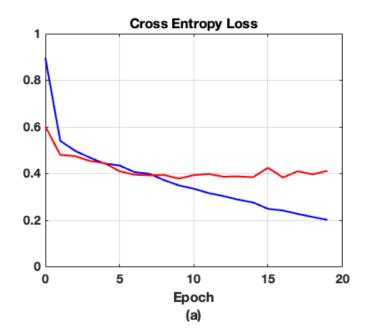


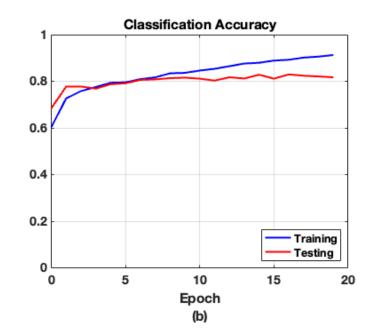
### Results - Network Performance

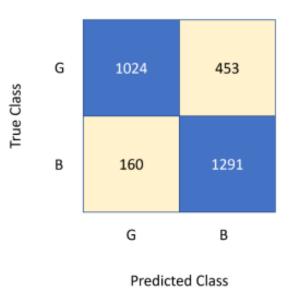


#### **Training Hyperparameters**

Parameter	Value
Optimizer	Stochastic Gradient Descent
Learning Rate	0.001
Momentum	0.9
Mini Batch Size	16
Epochs	20







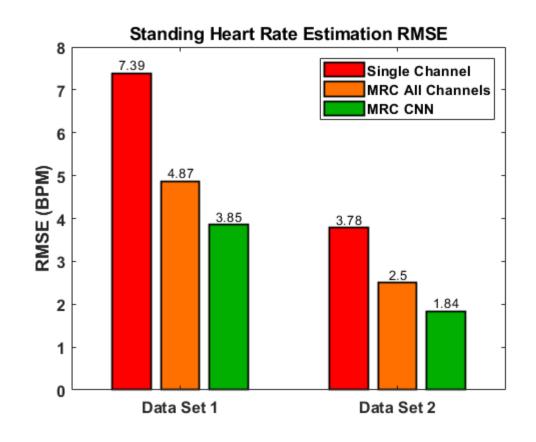




#### Results



- Results show an improvement in heart rate estimation error when only including "good channels" in the MRC summation
- Over 20% improvement when compared to using all channels
- Much greater improvement compared to using a single channel radar







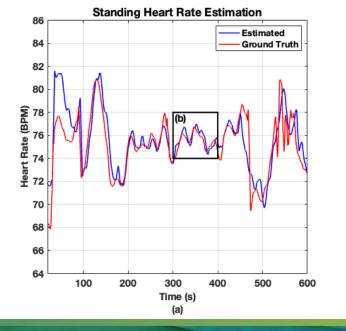
### Results

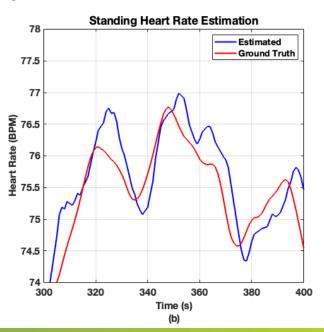


 An example of the estimation accuracy over time is shown for Data Set 2

 It can be seen that the highly varying heart rate of a person standing for 5 minutes can be accurately tracked over the entirety

of the experiment.









## Limitations and Future Challenges



- It is desired to improve the channel classification accuracy through network improvements
- Apply this technique to a wider range of highly challenging scenarios (walking)
- Possibility of feeding raw I and Q data rather than scalogram images





### Conclusion



- A CNN-based method for an automatic process of MIMO channel selection for a mmWave radar was presented to improve remote heart rate estimation accuracy in scenarios containing RBSM
- A channel classification accuracy of over 80% was obtained, allowing for the improvement of the channel summation done by MRC and outperforming the single-channel radar technique
- The heart rate estimation accuracy results of two long-duration standing subject data sets were shown to improve after the use of the trained CNN model by over 20%







# Questions?

**Contact:** 

cbauder@vols.utk.edu

