



We3E-5

Normal Incidence Scattering of Waveguide-Like FSS/PSS in Scalar 2D-FEM/MM Extracting the Frequency dependence

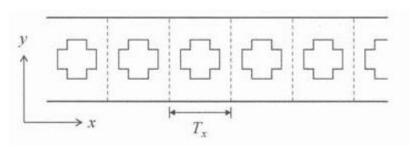
G. Garcia-Contreras, J. Córcoles, J. A. Ruiz-Cruz Universidad Autónoma de Madrid, Madrid, Spain







- Frequency/Polarization Selective Surfaces (FSS/PSS)
 - 2D periodic structures based on a Unit Cell



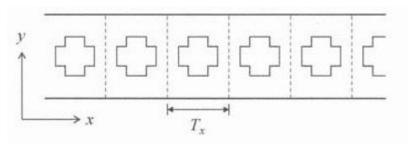
1D Periodic Structure [1]



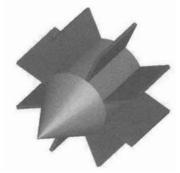




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1D Periodic Structure [1]



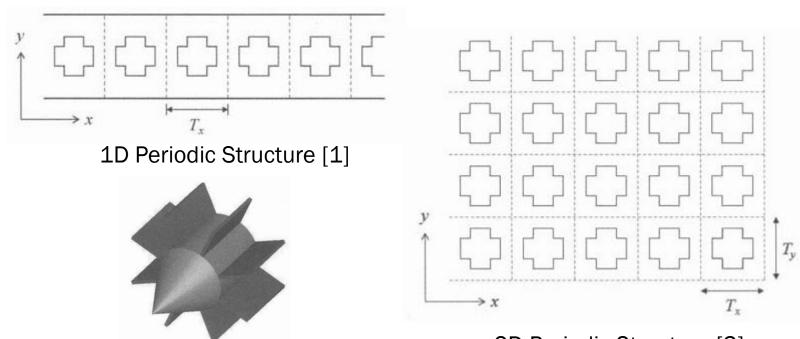
Angular Periodic Structure [2]







- Frequency/Polarization Selective Surfaces (FSS/PSS)
 - 2D periodic structures based on a Unit Cell



Angular Periodic Structure [2]

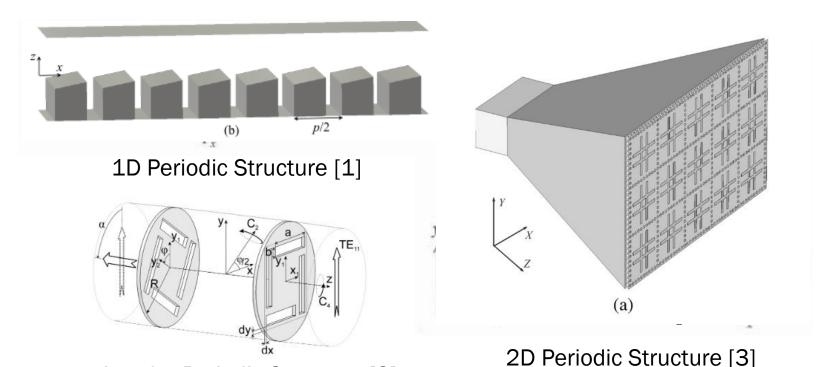
2D Periodic Structure [3]







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[1] M. Bagheriasl, O. Quevedo-Teruel and G. Valerio, "Bloch Analysis of Artificial Lines and Surfaces Exhibiting Glide Symmetry," in *IEEE Transactions on Microwave Theory and Techniques*, vol. 67, no. 7, pp. 2618-2628, July 2019, doi: 10.1109/TMTT.2019.2916821. [2] A. A. Kirilenko, S. O. Steshenko, V. N. Derkach and Y. M. Ostryzhnyi, "A Tunable Compact Polarizer in a Circular Waveguide," in *IEEE Transactions on Microwave Theory and Techniques*, vol. 67, no. 2, pp. 592-596, Feb. 2019, doi: 10.1109/TMTT.2018.2881089. [3] G. Q. Luo *et al.*, "Filtenna Consisting of Horn Antenna and Substrate Integrated Waveguide Cavity FSS." in *IEEE Transactions on Antennas and Propagation*, vol. 55, no. 1, pp. 92-98, Jan. 2007, doi: 10.1109/TAP.2006.888459.

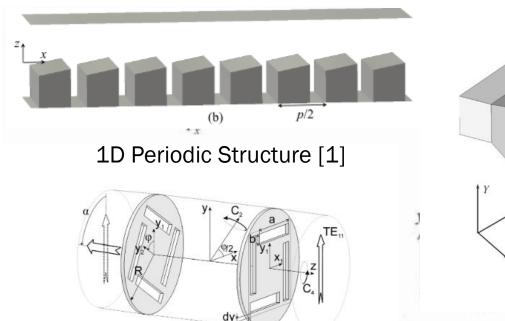




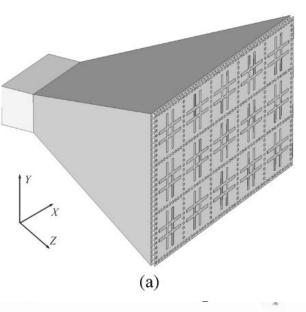




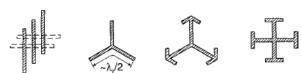
- Frequency/Polarization Selective Surfaces (FSS/PSS)
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Angular Periodic Structure [2]



2D Periodic Structure [3]



Group 1: "Center Connected" or "N-Poles"











Group 2: "Loop Types"







Group 3: "Solid Interior" or "Plate Type"







Group 4: "Combinations"

Types of unit cells

[1] M. Bagheriasl, O. Quevedo-Teruel and G. Valerio, "Bloch Analysis of Artificial Lines and Surfaces Exhibiting Glide Symmetry," in *IEEE Transactions on Microwave Theory and Techniques*, vol. 67, no. 7, pp. 2618-2628, July 2019, doi: 10.1109/TMTT.2019.2916821. [2] A. A. Kirilenko, S. O. Steshenko, V. N. Derkach and Y. M. Ostryzhnyi, "A Tunable Compact Polarizer in a Circular Waveguide," in *IEEE Transactions on Microwave Theory and Techniques*, vol. 67, no. 2, pp. 592-596, Feb. 2019, doi: 10.1109/TMTT.2018.2881089. [3] G. Q. Luo et al., "Filtenna Consisting of Horn Antenna and Substrate Integrated Waveguide Cavity FSS," in *IEEE Transactions on Antennas and Propagation*, vol. 55, no. 1, pp. 92-98, Jan. 2007, doi: 10.1109/TAP.2006.888459.

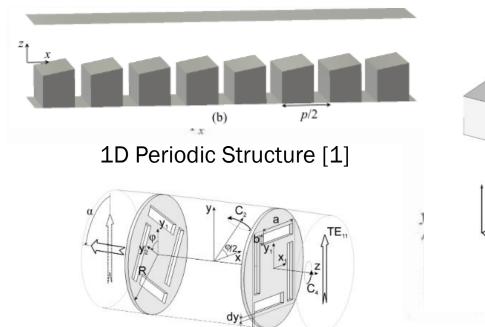




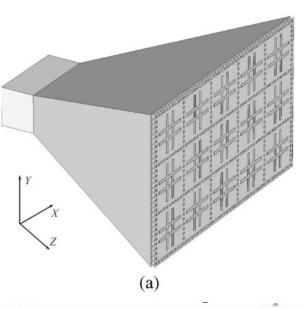




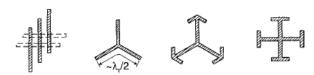
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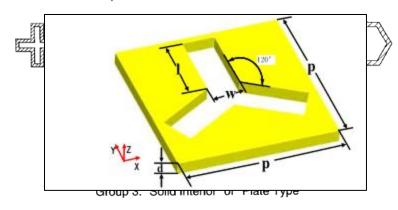
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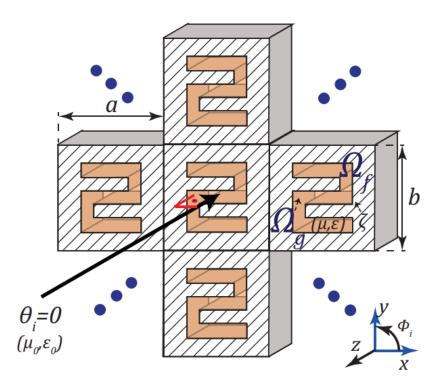




Problem under analysis



- Waveguide-like unit cells under normal incidence
 - Mode-Matching approach



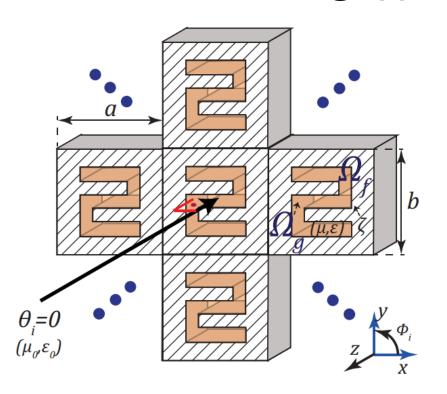


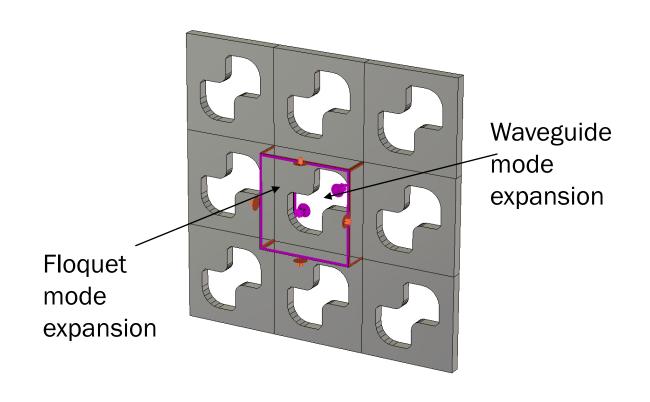


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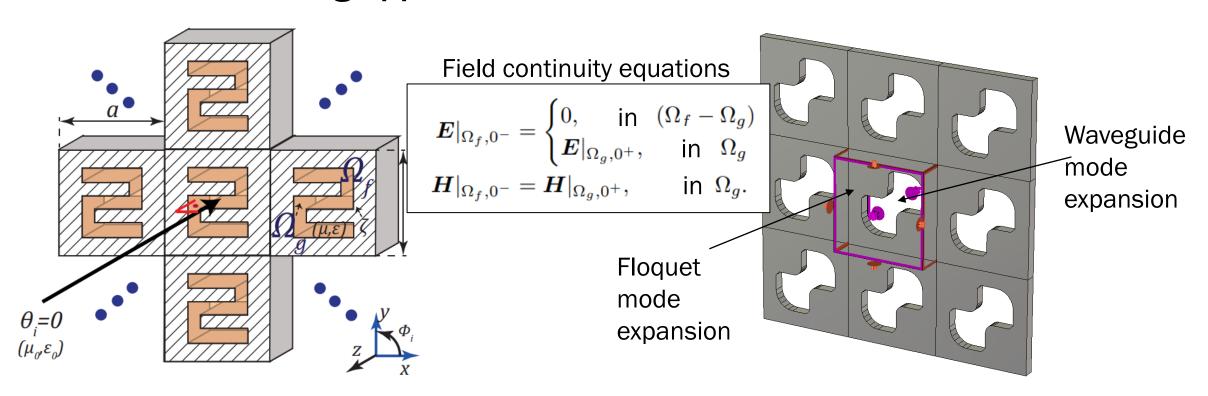




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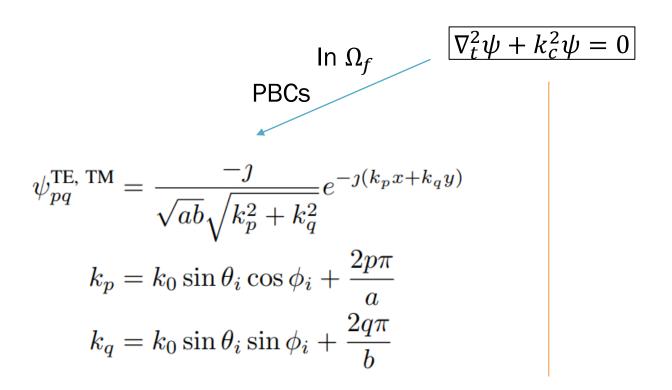


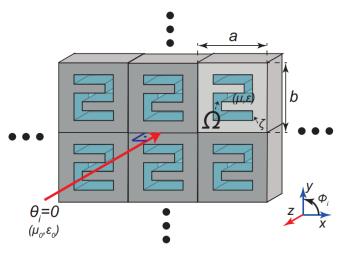


Floquet and Waveguide Modes



Derived from scalar potentials





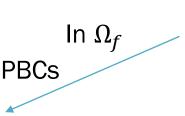




Floquet and Waveguide Modes



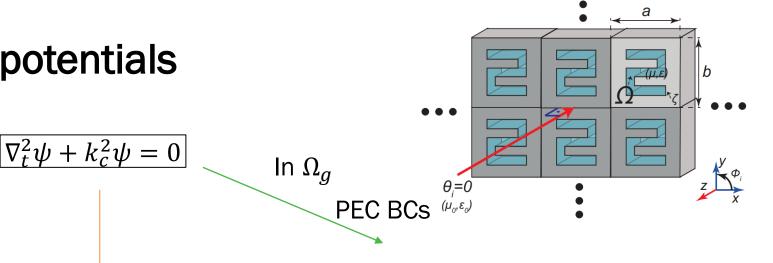
Derived from scalar potentials



$$\psi_{pq}^{\text{TE, TM}} = \frac{-\jmath}{\sqrt{ab}\sqrt{k_p^2 + k_q^2}} e^{-\jmath(k_p x + k_q y)}$$

$$k_p = k_0 \sin \theta_i \cos \phi_i + \frac{2p\pi}{a}$$

$$k_q = k_0 \sin \theta_i \sin \phi_i + \frac{2q\pi}{b}$$



- Frequency independent (in this problem)
- Unknown a priori
 - Use a numerical method to solve them (e.g. 2D-FEM)

$$\psi = \sum_{k} u_k l_k$$

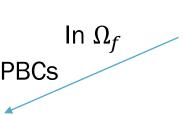




Floquet and Waveguide Modes



Derived from scalar potentials

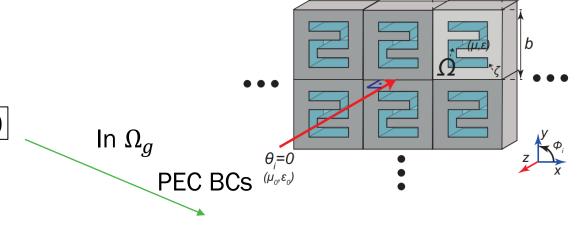


$$TM = \frac{-\jmath}{\sqrt{ab}\sqrt{k_p^2 + k_q^2}} e^{-\jmath(k_p x + k_q y)}$$

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Frequency dependent → consider normal incidence



- Frequency independent (in this problem)
- Unknown a priori
 - Use a numerical method to solve them (e.g. 2D-FEM)







Floquet modes do not directly fulfill classic orthogonality relation

$$\langle \boldsymbol{e}_{f,m}, \boldsymbol{h}_{f,n} \rangle_f = \iint_{\Omega_f} \boldsymbol{e}_{f,m} \times \boldsymbol{h}_{f,n} \cdot \hat{\boldsymbol{z}} \, d\Omega \neq A_m \delta_{mn}$$

$$\psi_{pq}^{\text{TE, TM}} = \frac{-\jmath}{\sqrt{ab}\sqrt{k_p^2 + k_q^2}} e^{-\jmath(k_p x + k_q y)}$$







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Thus, we have decided to use

$$\langle \boldsymbol{e}_{f,m}, \boldsymbol{h}_{f,n}^* \rangle_f = P_m \delta_{mn}.$$

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In terms of scalar potentials

$$\langle \nabla_t \psi_m, \hat{\boldsymbol{z}} \times \nabla_t \psi_m^* \rangle_{\{f,g\}} = Q_m$$







Floquet modes do not directly fulfill classic orthogonality relation

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In terms of scalar potentials

$$\langle \nabla_t \psi_m, \hat{\boldsymbol{z}} \times \nabla_t \psi_m^* \rangle_{\{f,g\}} = 1$$





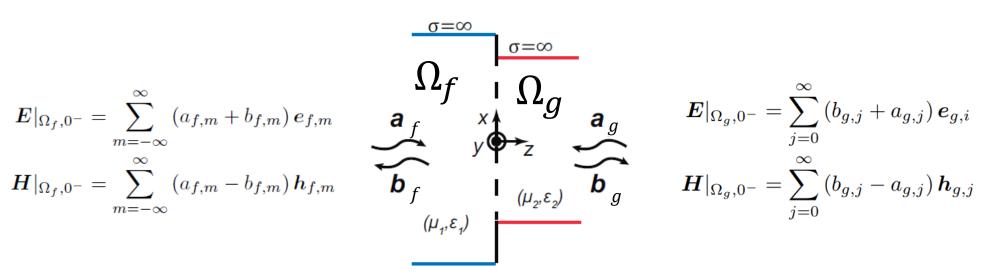
From scalar potentials to modal fields



Modal expansion on both sides of the discontinuity

$$E|_{\Omega_f,0^-} = \sum_{m=-\infty}^{\infty} (a_{f,m} + b_{f,m}) e_{f,m}$$

$$H|_{\Omega_f,0^-} = \sum_{m=-\infty}^{\infty} (a_{f,m} - b_{f,m}) h_{f,m}$$



$$egin{align} oldsymbol{E}|_{\Omega_g,0^-} &= \sum_{j=0}^\infty \left(b_{g,j} + a_{g,j}
ight) oldsymbol{e}_{g,i} \ oldsymbol{H}|_{\Omega_g,0^-} &= \sum_{j=0}^\infty \left(b_{g,j} - a_{g,j}
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From scalar potentials to modal fields



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$$e_{m}^{\text{TE}} = Q_{m}^{\frac{1}{2}\text{TM}} Z_{m}^{\frac{1}{2}\text{TM}} \nabla_{t} \psi_{m}^{\text{TM}} h_{m}^{\text{TM}} = Q_{m}^{\frac{1}{2}\text{TM}} Y_{m}^{\frac{1}{2}\text{TM}} (\hat{z} \times \nabla_{t} \psi_{m}^{\text{TM}})$$

$$E|_{\Omega_{g},0^{-}} = \sum_{j=0}^{\infty} (b_{g,j} + a_{g,j}) e_{g,i}$$

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From scalar potentials to modal fields



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$$e_{m}^{\text{TE}} = Q_{m}^{\frac{1}{2}\text{TE}} Z_{m}^{\frac{1}{2}\text{TE}} \left(\nabla_{t} \psi_{m}^{\text{TE}} \times \hat{z}\right) \quad h_{m}^{\text{TE}} = Q_{m}^{\frac{1}{2}\text{TE}} Y_{m}^{\frac{1}{2}\text{TE}} \nabla_{t} \psi_{m}^{\text{TE}}$$

$$e_{m}^{\text{TM}} = Q_{m}^{\frac{1}{2}\text{TM}} Z_{m}^{\frac{1}{2}\text{TM}} \nabla_{t} \psi_{m}^{\text{TM}} \quad h_{m}^{\text{TM}} = Q_{m}^{\frac{1}{2}\text{TM}} Y_{m}^{\frac{1}{2}\text{TM}} \left(\hat{z} \times \nabla_{t} \psi_{m}^{\text{TM}}\right)$$

Only frequency dependent terms under normal incidence







Final system of equations

$$(oldsymbol{a}_f + oldsymbol{b}_f) = oldsymbol{X}_c \left(oldsymbol{b}_g + oldsymbol{a}_g
ight) \ oldsymbol{X} \left(oldsymbol{a}_f - oldsymbol{b}_f
ight) = \left(oldsymbol{b}_g - oldsymbol{a}_g
ight)$$

$$m{X} = m{Z}_f^{1/2} ar{m{X}} m{Y}_g^{1/2} \quad m{X}_c = (m{Z}_f^{1/2} ar{m{X}}^* m{Y}_g^{1/2})^T$$

Generalized scattering matrix

$$oldsymbol{S}_{ ext{GSM}} = egin{bmatrix} oldsymbol{X}_c oldsymbol{F} & oldsymbol{X}_c oldsymbol{F} \ oldsymbol{F} oldsymbol{X} & oldsymbol{F} - oldsymbol{I}_g \end{bmatrix}$$

$$\boldsymbol{F} = 2(\boldsymbol{I}_f + \boldsymbol{X}\boldsymbol{X}_c)^{-1}$$







Final system of equations

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Due to using the complex conjugate to keep orthogonality

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Normalized cross-product

$$\bar{\boldsymbol{X}} = \begin{bmatrix} \langle \psi_0, \psi_{g,j}^{*\text{TE}} \rangle_g & \langle \psi_{f,m}^{\text{TE}}, \hat{\boldsymbol{z}} \times \psi_{g,j}^{*\text{TE}} \rangle_g & \langle \psi_{f,m}^{\text{TM}}, \psi_{g,j}^{*\text{TE}} \rangle_g \\ \boldsymbol{0} & \boldsymbol{0} & \langle \psi_{f,m}^{\text{TM}}, \hat{\boldsymbol{z}} \times \psi_{g,j}^{*\text{TM}} \rangle_g \end{bmatrix}$$

Completely frequency independent







Floquet modes

$$Tu_{f,m}=d_m,$$

Project to 2D-FEM function space

$$d_m(i) = \frac{-\jmath}{\sqrt{k_p^2 + k_q^2} \sqrt{ab}} \iint_{\Omega_g} e^{-\jmath(k_p x + k_q y)} l_i d\Omega.$$

FEM matrices

$$R(i,j) = \iint_{\Omega} \nabla_t l_i \times \nabla_t l_j \cdot \hat{\boldsymbol{z}} \, d\Omega$$

$$S(i,j) = \iint_{\Omega_a} \nabla_t l_i \cdot \nabla_t l_j \, d\Omega$$

$$T(i,j) = \iint_{\Omega_a} l_i l_j \, d\Omega.$$







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Waveguide modes

 Solve the eigenvalue problem in 2D-FEM

$$(\boldsymbol{S} - k_c^2 \boldsymbol{T}) \boldsymbol{u}_g = \boldsymbol{0}$$







Floquet modes

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Floquet modes

$$Tu_{f,m}=d_m,$$

Project to 2D-FEM function space

$$d_m(i) = \frac{-\jmath}{\sqrt{k_p^2 + k_q^2} \sqrt{ab}} \iint_{\Omega_g} e^{-\jmath(k_p x + k_q y)} l_i d\Omega.$$

Waveguide modes

 Solve the eigenvalue problem in 2D-FEM

$$(\boldsymbol{S} - k_c^2 \boldsymbol{T}) \boldsymbol{u}_g = \boldsymbol{0}$$

FEM matrices
$$R(i,j) = \iint_{\Omega_g} \nabla_t l_i \times \nabla_t l_j \cdot \hat{m{z}} \, d\Omega$$
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$$egin{aligned} ar{m{X}} = egin{bmatrix} \langle \psi_0, \psi_{g,j}^{* ext{TE}}
angle_g & \langle \psi_{f,m}^{ ext{TE}}, \hat{m{z}} imes \psi_{g,j}^{* ext{TE}}
angle_g & \langle \psi_{f,m}^{ ext{TM}}, \psi_{g,j}^{* ext{TE}}
angle_g \ m{0} & m{0} & \langle \psi_{f,m}^{ ext{TM}}, \hat{m{z}} imes \psi_{g,j}^{* ext{TM}}
angle_g \end{bmatrix} \ ar{m{X}} = egin{bmatrix} m{u}_g^{T, ext{TE}} m{R} m{u}_0 & m{u}_g^{T, ext{TE}} m{S} m{u}_f^{ ext{TE}} & m{u}_g^{T, ext{TE}} m{R} m{u}_f^{ ext{TM}} \ m{0} & m{u}_g^{T, ext{TM}} m{S} m{u}_f^{ ext{TM}} \end{bmatrix} \end{aligned}$$













1. Project scalar analytic Floquet modes in the 2D-FEM function space







- 1. Project scalar analytic Floquet modes in the 2D-FEM function space
- 2. Obtain the waveguide modes through 2D-FEM







- 1. Project scalar analytic Floquet modes in the 2D-FEM function space
- 2. Obtain the waveguide modes through 2D-FEM
- 3. Compute the normalized cross-product matrix

$$ar{oldsymbol{X}} = egin{bmatrix} oldsymbol{u}_g^{T, ext{TE}} oldsymbol{R} oldsymbol{u}_0 & oldsymbol{u}_g^{T, ext{TE}} oldsymbol{S} oldsymbol{u}_f^{ ext{TE}} & oldsymbol{u}_g^{T, ext{TE}} oldsymbol{R} oldsymbol{u}_f^{ ext{TM}} oldsymbol{S} oldsymbol{u}_f^{ ext{TM}} \end{bmatrix}$$







- 1. Project scalar analytic Floquet modes in the 2D-FEM function space
- 2. Obtain the waveguide modes through 2D-FEM
- 3. Compute the normalized cross-product matrix

$$ar{m{X}} = egin{bmatrix} m{u}_g^{T, ext{TE}} m{R} m{u}_0 & m{u}_g^{T, ext{TE}} m{S} m{u}_f^{ ext{TE}} & m{u}_g^{T, ext{TE}} m{R} m{u}_f^{ ext{TM}} \ m{0} & m{0} & m{u}_g^{T, ext{TM}} m{S} m{u}_f^{ ext{TM}} \end{bmatrix}$$

- 4. For each frequency:
 - 1. Obtain the unnormalized cross-product matrices

$$m{X} = m{Z}_f^{1/2} ar{m{X}} m{Y}_g^{1/2} \quad m{X}_c = (m{Z}_f^{1/2} ar{m{X}}^* m{Y}_g^{1/2})^T$$







- 1. Project scalar analytic Floquet modes in the 2D-FEM function space
- 2. Obtain the waveguide modes through 2D-FEM
- 3. Compute the normalized cross-product matrix

$$ar{m{X}} = egin{bmatrix} m{u}_g^{T, ext{TE}} m{R} m{u}_0 & m{u}_g^{T, ext{TE}} m{S} m{u}_f^{ ext{TE}} & m{u}_g^{T, ext{TE}} m{R} m{u}_f^{ ext{TM}} \ m{0} & m{0} & m{u}_g^{T, ext{TM}} m{S} m{u}_f^{ ext{TM}} \end{bmatrix}$$

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2. Compute the GSM

$$m{S}_{ ext{GSM}} = egin{bmatrix} m{X}_c m{F} & m{X}_c m{F} \ m{F} m{X} & m{F} - m{I}_g \end{bmatrix} \ m{F} = 2(m{I}_f + m{X} m{X}_c)^{-1}$$







- 1. Project scalar analytic Floquet modes in the 2D-FEM function space
- 2. Obtain the waveguide modes through 2D-FEM
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- 4. For each frequency:
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 - 2. Compute the GSM

$$m{S}_{ ext{GSM}} = egin{bmatrix} m{X}_c m{F} & m{X}_c m{F} \ m{F} m{X} & m{F} - m{I}_g \end{bmatrix} \quad m{F} = 2(m{I}_f + m{X} m{X}_c)^{-1}$$

Also works for oblique incidence, but all matrices must be recomputed for each freq.

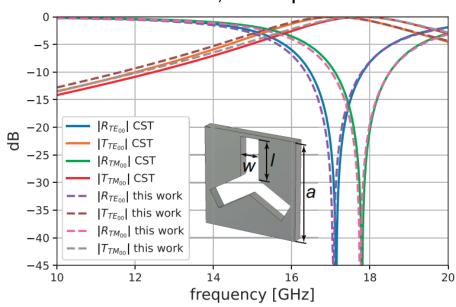






Validation results





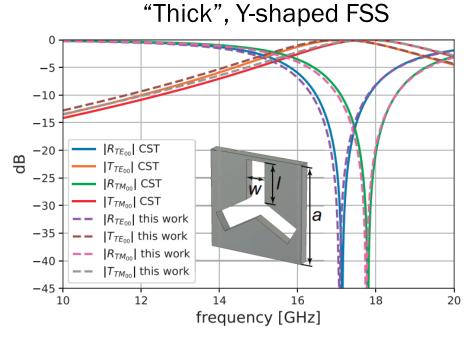
- Results compared against CST
- The formulation works well on both thick and thin FSSs



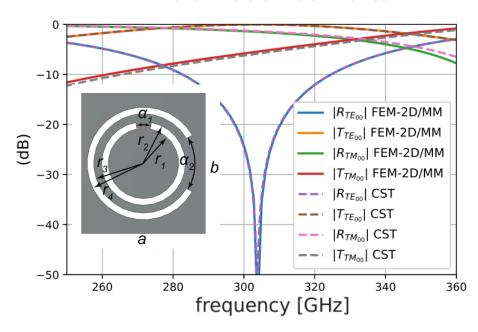




Validation results



Polarization converter



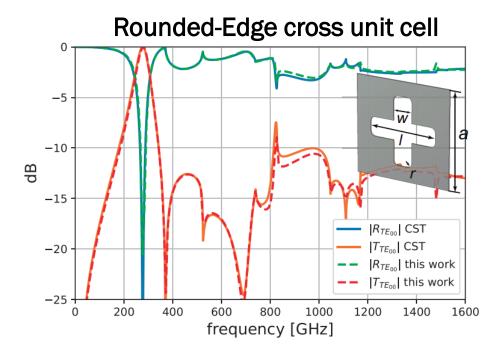
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Convergence & Performance

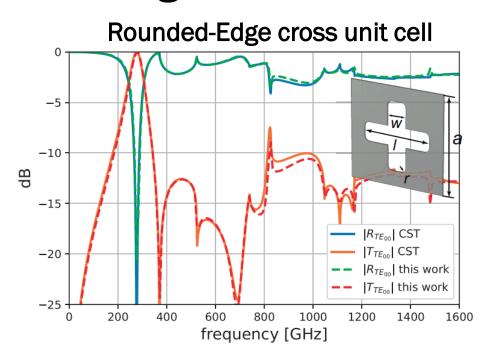


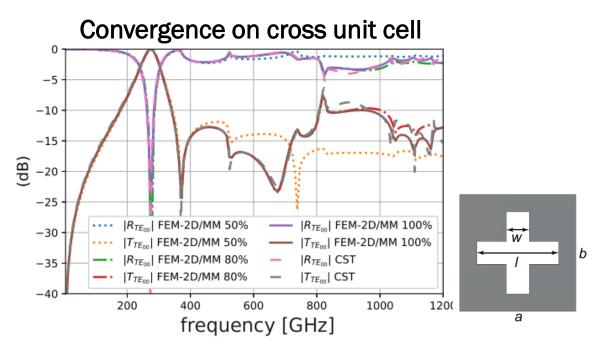






Convergence & Performance



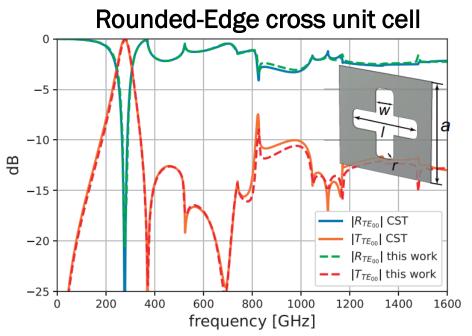


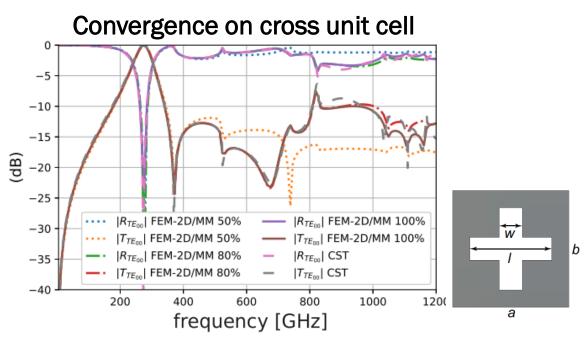






Convergence & Performance





Rounded-edge cross	# modes	Comp. time	Time per freq. point
CST	122 Floquet	>30 min	~30 s
2D-FEM/MM	400 Floquet, 32 Wg	~10 s	~0.01 s





Conclusions



- Hybridization of 2D-FEM/MM for the waveguide-like FSS problem.
- Clear advantages although limited application (waveguide-like FSS).
- Observed behavior is similar to standard MM.





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Future work

- Extend the formulation to other unit cells
- Extend the formulation beyond normal incidence







We3E-5

Normal Incidence Scattering of Waveguide-Like FSS/PSS in Scalar 2D-FEM/MM Extracting the Frequency dependence

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